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31025 U.S. PTO

12-5-01

Box/500

Docket No. 63293/BJA/JPO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

November 2, 2001

S I R:

Transmitted herewith for filing are the specification and claims of the patent application of:

Cy A. Stein, Luba Benimetskaya and Nancy Guzzo - Pernel \_\_\_\_\_ for

**Inventor(s)**  
PEPTIDES THAT DELIVER ANTISENSE OLIGONUCLEOTIDES WHICH DOWNREGULATE PROTEIN  
EXPRESSION IN CELLS

**Title of Invention**

Also enclosed are:

☒ 9 sheet(s) of ☒ informal ☐ formal drawings.

☒ Oath or declaration of Applicant(s). (Unsigned)

☒ A power of attorney (Unsigned)

☐ An assignment of the invention to \_\_\_\_\_

☐ A Preliminary Amendment

☒ A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27.

The filing fee is calculated as follows:

**CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT**

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	48-20	=	28	X	\$ 9	\$ 18	= \$ 252	\$
Independent Claims	2-3	=	0	X	\$ 40	\$ 80	= \$ 0	\$
Multiple Dependent Claims Presented: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					\$ 135	\$ 270	= \$ 135	\$
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE		\$ 355	\$ 710
					TOTAL FEE		\$ 742	\$

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Letter of Transmittal  
Page 2

- ☒ A check in the amount of \$ 742.00 to cover the filing fee.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125;
- ☒ Filing fees under 37 C.F.R. §1.16.
- ☒ Patent application processing fees under 37 C.F.R. §1.17.
- ☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- ☒ Three copies of this sheet are enclosed.
- ☐ A certified copy of previously filed foreign application No. \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_ Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.
- ☐ Other (identify) Extra set of loose figures ( 9 Sheets)  
CRF Sequence Listing, Statement in Accordance with  
1.821(f), Paper Sequence Listing, Express Mail  
Certificate of Mailing Labeled EL628787819US.

Respectfully submitted,



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Cy A. Stein et al.,  
U.S. Serial No. : Not Yet Known  
Filed : Herewith  
For : PEPTIDES THAT DELIVER ANTISENSE  
OLIGONUCLEOTIDES WHICH DOWNREGULATE PROTEIN  
EXPRESSION IN CELLS

1185 Avenue of the Americas  
New York, New York 10036  
November 2, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Box Provisional Patent Application

Sir:

**EXPRESS MAIL CERTIFICATE OF MAILING  
FOR ABOVE-IDENTIFIED APPLICATION**


"Express Mail" Mailing Label Number: EL628787819US

Date of deposit: November 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Attn. Box Provisional Patent Application.

  
Printed Name Samuel Khachaturian

Respectfully submitted,

  
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